PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| (\$) 950.9 | 00 |
|------------|----|
|------------|----|

| Complete if Known    |                 |                      |  |  |  |  |
|----------------------|-----------------|----------------------|--|--|--|--|
| Application Number   | 09/608,637      | RECEIVED             |  |  |  |  |
| Filing Date          | June 30, 2000   | AFD 1 0 0001         |  |  |  |  |
| First Named Inventor | Jin Yang        | SEP 1 6 2004         |  |  |  |  |
| Examiner Name        | E. Garcia Otera | Chnology Center 2100 |  |  |  |  |
| Art Unit             | 2123            |                      |  |  |  |  |
| Attorney Docket No.  | 42390.P9429     |                      |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)  |                               |   |                             | FEE CALCULATION (continued) |          |   |  |  |          |
|---|-------------------------------|---|-----------------------------|-----------------------------|----------|---|--|--|----------|
| Check Credit card Money Other None  |                               |   | 3. ADDITIONAL FEES          |                             |          |   |  |  |          |
| Deposit Account:  |                               | . —   | Large Entity   Small Entity |                             |          |   |  |  |          |
| Deposit   |                               |   | 1                           | Fee<br>Code                 |          | Fee<br>Code   | Fee<br>(\$)  | Fee Description  | Fee Paid |
| Account<br>Number   | 02-2666                       |   |                             | 1051                        | 130      | 2051  |  | Surcharge - late filing fee or oath  | reeraiu  |
| Deposit<br>Account  | Blakely, Soko                 | oloff, Taylor & Zafma                         | ın LLP                      | 1052                        | 50       | 2052  | 25   | Surcharge - late provisional filing fee or cover sheet                     |          |
| Name The Director is authorized to: (check all that apply)  |                               |   | 1053                        | 130                         | 1053     | 130   | Non-English specification                              |  |          |
| Charge fee(s) indicated below Credit any overpayments   |                               |   | 1812                        | 2,520                       | 1812     | 2,520   | For filing a request for ex parte reexamination,       | <b></b>  |          |
| Charge any additional fee(s) or any underpayment of fee(s)  |                               |   | 1804                        | 920*                        | 1804     | 920*  | Requesting publication of SIR prior to Examiner action |  |          |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. |                               | 1805  | 1,840*                      | 1805                        | 1,840*   | Requesting publication of SIR after Examiner action |  |  |          |
| FEE CALCULATION   |                               | 1251  | 110                         | 2251                        | 55       | Extension for reply within first month              |  |  |          |
| 1. BASIC F  |                               | REGUEATION                                    |                             | 1252                        | 420      | 2252  | 210  | Extension for reply within second month                                    | 050.00   |
| Large Entity  |                               |   |                             | 1253                        | 950      | 2253  | 475  | Extension for reply within third month                                     | 950.00   |
|   | Fee Fee <u>F</u><br>Code (\$) | ee Description                                | Fee Paid                    | 1254                        | 1,480    | 2254  | 740  | Extension for reply within fourth month                                    |          |
| 1001 770  | 2001 385                      | Utility filing fee                            |                             | 1255                        | 2,010    | 2255  | 1,005  | Extension for reply within fifth month                                     |          |
| 1002 340  | 2002 170                      | Design filing fee                             |                             | 1401                        | 330      | 2401  | 165  | Notice of Appeal   |          |
| 1003 530  | 2003 265                      | Plant filing fee                              |                             | 1402                        | 330      | 2402  | 165  | Filing a brief in support of an appeal                                     |          |
| 1004 770  | 2004 385                      | Reissue filing fee                            | -                           | 1403                        | 290      | 2403  | 145  | Request for oral hearing   |          |
| 1005 160  | 2005 80                       | Provisional filing fee                        |                             | 1451                        | 1,510    | 1451  | 1,510  | Petition to institute a public use proceeding                              |          |
|   | , e                           | UBTOTAL (1) (\$)                              |                             | 1452                        | 110      | 2452  | 55   | Petition to revive - unavoidable   |          |
|   |                               |   | 1453                        | 1,330                       | 2453     | 665   | Petition to revive - unintentional                     |  |          |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   |                               |   | 1501                        | 1,330                       | 2501     | 665   | Utility issue fee (or reissue)                         |  |          |
|   |                               | Extra Claims belo                             | w Fee Paid                  | 1502                        | 480      | 2502  | 240  | Design issue fee   |          |
| Total Claims<br>Independent   | ===                           | '= 0 × 18                                     |                             | 1503                        | 640      | 2503  | 320  | Plant issue fee  |          |
| Claims  | 7 -7*1                        | *= <u>[0</u> × <u>[86</u>                     | ╛┋┻                         | 1460                        | 130      | 1460  | 130  | Petitions to the Commissioner  |          |
| Multiple Depe   | naent                         |   | ┛                           | 1807                        | 50       | 1807  | 50   | Processing fee under 37 CFR 1.17(q)  |          |
| Large Entity Fee Fee  | Small Entity Fee Fee          | For Departmen                                 |                             | 1806                        | 180      | 1806  |  | Submission of Information Disclosure Stmt                                  |          |
| Code (\$)   | Code (\$)                     | Fee Description                               | ,                           | 8021                        | 40       | 8021  | 40   | Recording each patent assignment per property (times number of properties) | <u> </u> |
| 1202 18   | 2202 9                        | Claims in excess of 2                         | -                           | 1809                        | 770      | 2809  | 385  | Filing a submission after final rejection                                  |          |
| 1201 86<br>1203 290   | 2201 43<br>2203 145           | Independent claims in<br>Multiple dependent c |                             | 1810                        | 770      | 2810  | 205  | (37 CFR 1.129(a))  |          |
| 1203 230  | 2204 43                       | ** Reissue independe                          | •                           | 1010                        | 770      | 2010  |  | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| over original patent  |                               |   | 1801                        | 770                         | 2801     | 385   | Request for Continued Examination (RCE)                |  |          |
| 1205 18   | 2205 9                        | ** Reissue claims in and over original pa     |                             | 1802                        | 900      | 1802  | 900  | Request for expedited examination of a design application                  |          |
| SUBTOTAL (2) (\$) 0.00 Other fee (  |                               |   |                             | fee (sp                     | ecify) _ |   |  |  |          |
|   |                               |   |                             | *Redu                       | ced by   | Basic F   | Filing Fo  | ee Paid <b>SUBTOTAL (3)</b> (\$) 950.0                                     | 00       |

(Complete (if applicable)) SUBMITTED BY Registration No. Lawrence M. Mennemeier 51,003 Name (Print/Type) Telephone 408-720-8300 Signature September 9, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.